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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     |                    | 10528369   |  |
|   | Filing Date            |                    | 2005-10-07 |  |
|   | First Named Inventor   | Saitoh             |            |  |
|   | Art Unit               | 2879               |            |  |
|   | Examiner Name          | Natalie K. Walford |            |  |
|   | Attorney Docket Number | TYOS124843         |            |  |

### U.S.PATENTS

| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
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### U.S.PATENT APPLICATION PUBLICATIONS

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|-------------------|---------|--------------------------------------|---------------------------|------------------------|------------------|---|--|--------------------------|
|                   | 1       | 3245948                              | JP                        |                        | 1995-01-24       | Keizo   |  | <input type="checkbox"/> |

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|--------------------|---------|---|----------------|
|                    |         |   |                |

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|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

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☒ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

|            |                     |                     |            |
|------------|---------------------|---------------------|------------|
| Signature  | /Dennis K. Shelton/ | Date (YYYY-MM-DD)   | 2007-08-09 |
| Name/Print | Dennis K. Shelton   | Registration Number | 26997      |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: H. Saitoh et al. Attorney Docket No.: TYOS124843  
Application No.: 10/528,369 Art Unit: 2879 / Confirmation No.: 4686  
Filed: October 7, 2005 Examiner: Natalie K. Walford  
Title: LIGHT-EMITTING DEVICE

CERTIFICATION STATEMENT UNDER 37 C.F.R. § 1.704(d)

Seattle, Washington 98101

August 9, 2007

TO THE COMMISSIONER FOR PATENTS:

1. I, the person signing below, state that each item of information contained in the accompanying information disclosure statement was first cited in a (any) communication from a foreign patent office in a counterpart foreign application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.

2. I, the person signing below, am the attorney who prepared or prosecuted the application, signing on the basis of the information in my file.

3. A concise explanation of the relevance of document No. JP 3245948 (which is not in the English language), as presently understood by the individual designated under 37 C.F.R. § 1.56(c) most knowledgeable about its content, is provided in an English abstract appended to said document.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>



Dennis K. Shelton  
Registration No. 26,997  
Direct Dial No. 206.695.1718

DKS:jh

LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PLLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100